

# Client Registration Form - Joint Application -

Name of Broker: \_\_\_\_\_

Type of Brokership: \_\_\_\_\_ Client Registration Number: \_\_\_\_\_  
*[To be filled by Clearing Member]*

Applicable Commission [%]: \_\_\_\_\_

AE Name: \_\_\_\_\_ AE Code: \_\_\_\_\_

AE Address: \_\_\_\_\_

AE Email: \_\_\_\_\_

## \*\*TO BE FILLED BY INDIVIDUAL ONLY

### Application No.-1

[Application No. 1 shall be regarded as the primary applicant and all communication including the Trade Work Station user id and password shall only be sent to the primary applicant]

A recent photograph  
in light background of  
the  
applicant/signatory

Please affix and sign  
on the photograph

Name: \_\_\_\_\_  
*First Name Middle Name Last Name*

Father's/Spouse Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ [DD/MM/YYYY] Age: \_\_\_\_\_ Occupation: \_\_\_\_\_

Nationality/Residential Status: \_\_\_\_\_ Passport No./Citizenship No.: \_\_\_\_\_

**Home Address:** \_\_\_\_\_ City: \_\_\_\_\_

Pin Code: \_\_\_\_\_ Email: \_\_\_\_\_

**Address for communication (if different from the Home Address):** \_\_\_\_\_

\_\_\_\_\_ City: \_\_\_\_\_

Pin Code: \_\_\_\_\_ Email: \_\_\_\_\_

Permanent Account Number: \_\_\_\_\_

**Application No.-2***First Name**Middle Name**Last Name*

Name: \_\_\_\_\_

Father's/Spouse Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ [DD/MM/YYYY] Age: \_\_\_\_\_ Occupation: \_\_\_\_\_

Nationality/Residential Status: \_\_\_\_\_ Passport No./Citizenship No.: \_\_\_\_\_

**Home Address:** \_\_\_\_\_ City: \_\_\_\_\_

Pin Code: \_\_\_\_\_ Email: \_\_\_\_\_

**Address for communication** (if different from the Home Address): \_\_\_\_\_

\_\_\_\_\_ City: \_\_\_\_\_

Pin Code: \_\_\_\_\_ Email: \_\_\_\_\_

Permanent Account Number: \_\_\_\_\_

A recent photograph  
in light background of  
the  
applicant/signatoryPlease affix and sign  
on the photograph

Name of other Exchange(s) in which the applicant-1 is/was a member:

\_\_\_\_\_  
\_\_\_\_\_

Name of other Exchange(s) in which the applicant-2 is/was a member:

\_\_\_\_\_  
\_\_\_\_\_**Details of Joint Bank Account:**

Name of Bank: \_\_\_\_\_

Names in Bank record: 1) \_\_\_\_\_

2) \_\_\_\_\_

Branch: \_\_\_\_\_ Account Type: \_\_\_\_\_

Account No.: \_\_\_\_\_

**Percentage of Sharing "With Holding Tax" (TDS) Return:**

Applicant No. 1: \_\_\_\_\_ Applicant No. 2: \_\_\_\_\_

**Note:** - This shall be remaining same till the accounts close and no changes shall be allowed.

**DECLARATIONS:**

- a) Whether any court case is pending against the applicants? [ ] YES / [ ] NO
- b) Whether the applicants are involved in any financial irregularities, crime and subject to any disciplinary proceedings? [ ] YES / [ ] NO
- c) Whether the applicants were subject to any disciplinary proceedings in any other exchange? [ ] YES / [ ] NO
- d) Whether the applicants have read, understood and fully aware of the risk disclosure and terms and conditions of the agreement with Broker/CM? [ ] YES / [ ] NO  
*(if answer is yes in clause (a) and (b) above, please furnish the details of such financial irregularities and/or disciplinary action in a separate sheet)*

I hereby declare that the information furnished in this application is true and correct and the documents annexed with this application are true copies of its original. I undertake to inform the Broker/Clearing Member, in writing, immediately of any changes in the information furnished by me in this application. The Broker/ Clearing Member or the Exchange will not be liable for any direct/indirect consequences arising on account of non intimation changes in the above information.

I understand the contents of the Risk Disclosure Document and also agree to abide by the Bye-Laws and Rules of the Exchange.

Signature: \_\_\_\_\_

Thumb Print of Applicant No. 1:

Right

Left

Place: \_\_\_\_\_

Date: \_\_\_\_\_

I hereby declare that the information furnished in this application is true and correct and the documents annexed with this application are true copies of its original. I undertake to inform the Broker/ Clearing Member, in writing, immediately of any changes in the information furnished by me in this application. The Broker/ Clearing Member or the Exchange will not be liable for any direct/indirect consequences arising on account of non intimation changes in the above information.

I understand the contents of the Risk Disclosure Document and also agree to abide by the Bye-Laws and Rules of the Exchange.

Signature: \_\_\_\_\_

Thumb Print of Applicant No. 2:

Right

Left

Place: \_\_\_\_\_

Date: \_\_\_\_\_

**DOCUMENTS TO BE SUBMITTED ALONG WITH THE CLIENT REGISTRATION FORM**

1. [    ] Proof of Identity and Proof of Address of both applicants.
2. [    ] Proof of Joint Bank Account Number.
3. [    ] Self certified photo copy of the PAN Card of both applicants.
4. [    ] Letter, authorizing one of the clients to receive user and trade.

Verified By:

Name of Broker: \_\_\_\_\_

Name of the Authorized person of Broker: \_\_\_\_\_

Signature of Authorized person of Broker: \_\_\_\_\_

Date: \_\_\_\_\_ Seal of Broker: \_\_\_\_\_

Approved By:

Name of CM: \_\_\_\_\_

Name of the Authorized person of CM: \_\_\_\_\_

Signature of Authorized person of CM: \_\_\_\_\_

Date: \_\_\_\_\_ Seal of CM: \_\_\_\_\_

**LETTER OF UNDERTAKING/AUTHORIZING PERSON**

Date:

To,  
\_\_\_\_\_ ( Name of Clearing Member)

Dear Sir / Madam,

I,.....and..... have applied to trade on market facilitated by Mercantile Exchange Nepal Limited and to obtain user ID and password of trader work station (TWS) for the joint account in .....(bank name) with account number.....

We have jointly decided that Mr/Mrs/Miss..... shall be the authorized person for using the trading account user i.d. and password. (Signature of authorized person is provided below)

We hereby undertake that in case of any consequences and loss in trading in the exchange we both shall be responsible for such loss. The exchange, its members & brokers shall not be responsible for the same and it is also disclosed that full risk involved has been explained to us by the Broker/Clearing Member.

In the event of any risk or loss arising out of trading, We shall not take any action including any legal proceedings against the Exchange, its directors, employees or any other person acting on behalf of the Exchange and its members & brokers. We both will be responsible for the consequences and no contract can be rescinded on that account.

Sincerely,

Applicant no.1: (Authorized person)

Name: .....

Signature: .....

Applicant no.2:

Name: .....

Signature: .....